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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/020,445
Filing Date	10/24/2001 <b>Certificate</b>
First Named Inventor	Audrey Goddard <b>APR 04 2006</b>
Group/Art Unit	1647 <b>of Correction</b>
Examiner Name	JEGATHEESAN SEHARASEYON
Attorney Docket Number	39780-2630 P1C74

Total Number of Pages in This Submission

12

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Response☐ After Final☐ Version With Markings Showing  
Changes☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete  
Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Copy of Notice☒ **REQUEST FOR CERTIFICATE OF  
CORRECTION**☒ **PRELIMINARY AMENDMENT**☒ **COPY OF CORRECTED FILING RECEIPT**☒ **CERTIFICATE OF CORRECTION  
PTO FORM-1050**☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, by Assignee to  
Exclusion of Inventor Under 37 C.F.R.  
§3.71 With Revocation of Prior Powers☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ **ADDITIONAL ENCLOSURE(S)  
(PLEASE IDENTIFY BELOW):**☒ **RETURN POSTCARD**

Remarks

**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN  
CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-2630  
P1C74.****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual name	HELLER EHRMAN LLP	BARRIE D. GREENE (Reg. No. 46,740)
	275 Middlefield Road, Menlo Park, California 94025	Telephone: (650) 324-7000 Facsimile: (650) 324-0638
Signature		
Date	March 28, 2006	Customer Number: 35489

**CERTIFICATE OF EXPRESS MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: **MAIL STOP CERTIFICATE OF CORRECTION BRANCH**, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: **MARCH 28, 2006**Express Mail Label **EV 765987905 US**

Typed or printed name	F. COCHRAN		
Signature		Date	March 28, 2006

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

APR 04 2006



PATENTS  
Attorney Docket No. 39780-2630 P1C74

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent No.: 6,962,797 B2  
Dated: November 8, 2005  
Inventor(s): Goddard, et al.

**MS: Certificate of Correction Branch**  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

Sir:

**REQUEST FOR CERTIFICATE OF CORRECTION**

Patentee hereby requests a Certificate of Correction since the issued patent shows incorrect priority information under "Related U.S. Application Data." The correct priority information is that shown in the Preliminary Amendment filed August 22, 2002, and as shown in the Corrected Filing Receipt mailed October 28, 2005.

Submitted herewith are copies of the Preliminary Amendment filed on August 22, 2002, and the Corrected Filing Receipt mailed October 28, 2005, along with a completed Form PTO-1050 containing the requested correction. A return receipt postcard is enclosed.

No fee is believed due, because this is the correction of an Office mistake. Please charge any fees that may be required to Account No. 08-1641, referencing Attorney docket 39780-2630 P1C74. A duplicate of this document is enclosed.

Respectfully submitted,

Date: March 28, 2006

By: Barrie Greene  
Barrie Greene (Reg. No. 46,740)

**Heller Ehrman, LLP**  
Customer No. 35489  
275 Middlefield Road  
Menlo Park CA 94025-3506  
Tel: 650/324-7000  
Fax: 650/324-0638

SV 2174706 v1

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Express Mail No: EV 765 987 905 US

APR 04 2006

# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

**PATENT NO. :** 6,962,797 B2

**DATED :** November 8, 2005

**INVENTOR(S):** Audrey GODDARD, et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, in the "Related U.S. Application Data":

**(63)** delete the related application data and replace as follows:

-- Continuation of application No. 09/918,585, filed on Jul. 30, 2001, now abandoned, which is a continuation of application No. PCT/US00/04341, filed on Feb. 18, 2000, which is a continuation-in-part of application No. 09/380,138, filed as application No. PCT/US99/05028 on Mar. 8, 1999, now abandoned. --

**(60)** delete the related provisional application data and replace as follows:

-- Provisional application No. 60/131,445, filed on Apr. 28, 1999, and provisional application No. 60/083,392, filed on Apr. 28, 1998. --

MAILING ADDRESS OF SENDER:

**Barrie D. Greene  
HELLER EHRMAN LLP  
275 Middlefield Road  
Menlo Park, California 94025**

**PATENT NO.: 6,962,797 B2  
Atty. No.: 39780-2630 P1C74**

March 28, 2006


SV 2174717 v1

**APR 04 2006**



Patent Docket P2630P1C74

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Avi Ashkenazi et al  Serial No.: 10/020445  Filed: 10/24/2001	Group Art Unit: Not yet assigned  Examiner: Not yet assigned  <div style="text-align: center;"><small>CERTIFICATE OF MAILING</small> <small>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</small>  August 22, 2002  Patricia Terrill</div>
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**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**--RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/918585 filed 7/30/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04341 filed 2/18/2000, which claims priority under 35 USC §119 to US

APR 04 2006

**Patent Docket: P2630P1C74**  
**Serial No.: 10/020445**  
**Filed: 10/24/2001**

Provisional Application 60/131445 filed 4/28/1999, where PCT/US00/04341 is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/380138 filed 8/25/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/05028 filed 3/8/1999, which claims priority under 35 USC §119 to US Provisional Application 60/083392 filed 4/29/1998.--

Patent Docket: P2630P1C74  
Serial No.: 10/020445  
Filed: 10/24/2001

REMARKS

The original paragraph at page 1, line 2 has been deleted. A new paragraph beginning at page 1, line 2 has been added in the specification. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Date: August 22, 2002

By:



Elizabeth M. Barnes, Ph. D.

Reg. No. 35,059

Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

APR 04 2006

**Patent Docket: P2630P1C74**

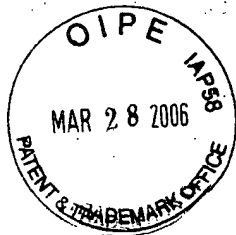
**Serial No.: 10/020445**

**Filed: 10/24/2001**

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.



In Re Application of: Avi Ashkenazi et al

Serial No: 10/020,445

Filed on: October 24, 2001

Mailed on: August 22, 2002

Docket No: P2630P1C74

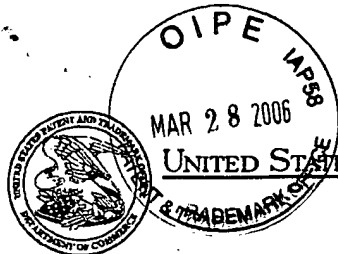
By: Elizabeth M. Barnes

Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped.

- ☒ Preliminary Amendment
- ☒ Post card





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/020,445	10/24/2001	1647	1040	GNE.2630P1C74	237	20	3

CONFIRMATION NO. 9983

CORRECTED FILING RECEIPT



\*OC000000017346016\*

35489  
 HELLER EHRMAN LLP  
 275 MIDDLEFIELD ROAD  
 MENLO PARK, CA 94025-3506

RECEIVED

OCT 31 2005

Date Mailed: 10/28/2005

H.E.W.M. LLP

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Audrey Goddard, San Francisco, CA;  
 Paul J. Godowski, Burlingame, CA;  
 Austin L. Gurney, Belmont, CA;  
 Margaret Ann Roy, San Francisco, CA;  
 William I. Wood, Hillsborough, CA;

**Assignment For Published Patent Application**

Genentech, Inc.

**Power of Attorney:** The patent practitioners associated with Customer Number **35489**.

**Domestic Priority data as claimed by applicant**

This application is a CON of 09/918,585 07/30/2001 ABN  
 which is a CON of PCT/US00/04341 02/18/2000  
 which claims benefit of 60/131,445 04/28/1999  
 and is a CIP of 09/380,138 08/25/1999 ABN  
 which is a 371 of PCT/US99/05028 03/08/1999  
 which claims benefit of 60/083,392 04/29/1998

**Foreign Applications**

If Required, Foreign Filing License Granted: 02/01/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/020,445**

DOCKETED

**Projected Publication Date:** Not Applicable

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

NUCLEIC ACIDS ENCODING PRO615

**Preliminary Class**

435

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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